



BSA/AML Institute

August 18-20, 2014; St. Louis, MO



About The Institute

The formal requirements for financial institution compliance with the Bank Secrecy Act are no less important today than they were in 2001 when the U.S.A. Patriot Act substantively transformed BSA/AML requirements. In fact, examining agencies appear to be increasing the scrutiny applied to bank BSA/AML programs. This Institute will cover all of the requirements of each segment of the regulation and attendees will receive guidance on emerging industry trends and best practice expectations in each area.

When completed, each attendee will have the in-depth knowledge, resources and peer network to effectively manage their BSA/AML function and to audit this function properly.

In addition, participants will be offered the opportunity to successfully pass two comprehensive exams and receive a formal BSA/AML professional designation. Our objective for the Certified BSA/AML Professional (CBAP) certification is to meet the comprehensive educational needs of BSA/AML officers and auditors of community financial institutions up to \$3 billion in total assets.

Tailored to Meet Your Needs

A goal of this program is to provide attendees with a greater understanding of how to adopt improved BSA/AML practices, establish stronger internal controls, and monitor for weaknesses. Whenever possible, we utilize "real world" examples for banks of varied asset sizes. Using this approach, each attendee can closely consider their situation relative to a peer example and put more substance behind catch phrases such as "risk-based" and "as needed."

Our trainers will emphasize the key control points and monitoring targets in each section of the regulation. Moreover, short quizzes will be utilized throughout the instruction to further support the learning experience.

Certified BSA/AML Professional (CBAP)

Testing is optional for BSA/AML Institute attendees, but required for those seeking Certification. Testing is presented in two sessions, one covering the more traditional reporting and recordkeeping requirements and a second test covering the requirements of Anti-money laundering programs, suspicious activity identification and SAR filings. Over 650 bankers have achieved certification in the short history of this popular program.

Schedule

Monday

7:30 – 8:30 am	Registration
8:30 am - Noon	Program
Noon - 1:00 pm	Lunch
1:00 – 5:30 pm	Program
5:30 – 6:30 pm	Reception / Light Dinner

Tuesday

8:30 am – 9:30	Exam*
9:30 am - Noon	Program
Noon - 1:00 pm	Lunch
1:00 – 5:00 pm	Program
	Dinner on your own

Wednesday

8:00 – 11:00 am	Program
11:00 am - Noon	Exam*
Noon	Adjourn

Topics Include:

- Financial recordkeeping and reporting
- Customer Identification Program (CIP) requirements
- Anti-money laundering program requirements
- Risk assessment methodology
- High risk customer identification and monitoring
- Incident investigation methodology
- Suspicious Activity Reporting (SAR) duties
- OFAC responsibilities
- Independent tests of BSA compliance programs to ensure proper controls are effectively in place
- Policies and procedures reasonably designed to identify and report suspicious activities

*Exam given to those enrolled in the Certified BSA/AML Professional program.



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Our Instructors:

David Bequeaith is the managing principal consultant for Bequeaith Banking Solutions LLC. He has 27 years of regulatory, banking and consulting experience.

Lindsay LaNore is a principal with Bequeaith Banking Solutions LLC. She is responsible for the direction of on-site review and support of compliance performance at client banks. Additionally, Lindsay is the national coordinator of compliance training materials offered by BBS.

Who should attend:

Community bank BSA/AML officers, line of business managers with significant BSA/AML responsibilities, general counsel, senior management and professionals responsible for independent testing, including internal and external auditors.

Hotel Information:

- To make your hotel reservation, contact **Embassy Suites St. Louis Downtown** at (314) 269-5900. To get the negotiated room rate of **\$159** for this program, you must make your hotel reservation by **7-27-14**.
- For special assistance needs, please call the hotel directly or contact the ICBA Education Department at (800) 422-7285.

Independent Community Bankers of America (ICBA) is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org.

Prerequisites - No previous experience and training is necessary.

20 CPE Credits

Program Level: Intermediate

Delivery Method: Group-Live

Registration Information:

Applicant's Name (Mr. Mrs. Ms.) Badge Name

Current Title at Bank

Sponsoring Bank (or Bank Client)

Mailing Address

City State Zip

Telephone (Area Code and Number) Fax Number

E-mail

Send or Fax Registration To:
ICBA Education Dept, P.O. Box 267, Sauk Centre, MN 56378
Online: www.icba.org/education
Fax: (320) 352-5366 Phone: (800) 422-7285, ext. 7334
E-mail: Education@icba.org

Fees: **ICBA Member:** \$1,195
Nonmember: \$1,595
Nonbanker: \$1,995

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Certification Program Testing Fees

ICBA Member: \$50

Nonmember: \$100

Please enroll me in the certification program.

A check payable to ICBA is enclosed

Charge to my VISA MasterCard

American Express Discover

Credit Card Account No. Expiration Date CW#

Signature

Refund Policy: If registration is canceled more than 30 days prior to the seminar, you will receive a full refund. If cancellation is within 30 days of the seminar, 20 percent of the fee will be deducted for costs. No refund for registrations canceled after beginning of seminar.

Airfares will not be reimbursed if a seminar is cancelled.